



TAURUS ASSET MANAGEMENT CO. LTD.

Ground Floor, AML- Center 1, 8 Mahal Industrial
Estate, Mahakali Caves Road, Andheri (East),
Mumbai 400 093

Phone: 022-22826488; 022 22826847

E-Mail: distributorcare@taurusmutualfund.com

CIN: U67190MH1993PLC073154

MUTUAL FUND ADVISOR – A PROFILE
(To help us understand you)

| | | | | | |
|--|--------------------|---|------------------|-----------------------|--|
| NAME | | | | | |
| Contact Person (in case of Advisor being Non-individual) | | | | | |
| | | | | | |
| CONSTITUTION | INDIVIDUAL [] | PROPREITORSHIP [] | PARTNERSHIP [] | COMPANY [] | |
| PAN NO.: | | SERVICE TAX No.: (If available) | | | |
| ARN NO.: | | | | | |
| CONTACT Details | | Communication Details | | | |
| Land Line No: | | Address: | | | |
| CELL No. : | | | | | |
| Fax No. : | | | | | |
| Email ID : | | DISTRICT / CITY: | | | |
| | | STATE : | | | |
| | | PINCODE : | | | |
| BUSINESSES | MUTUAL FUND [] | POSTAL SAVINGS [] | INSURANCE [] | FIXED DEPOSITS [] | |
| PERSONAL remembrances | | | | | |
| BIRTH DATE | | | | | |
| WEDDING DATE | | | | | |
| BUSINESS MILESTONE date | | | | | |
| Any other Happy Date (to share with us) | | | | | |
| BANK A/c Details | | | | | |
| Bank Name | | | | | |
| Branch | | | | | |
| Bank A/c Number | | | | | |
| BANK MICR Number | | | | | |
| IFSC Code | | | | | |

I/we undertake that the information provided is correct and true to my/our knowledge. I/we have read & understood and will abide by the terms and conditions for empanelment as Distributor of Taurus AMC. The empanelment will be subject to guidelines, notifications regulations etc., that may be framed by Taurus AMC/AMFI/SEBI or any other relevant regulator authority

I am not an Employee or a relative of a Director / Employee of the AMC/Sponsor/or any of its associates

Date:

Place:

Signature:

DETAILS of NOMINEE (where Distributor is an Individual)

I hereby NOMINATE the person named below to receive the amounts of brokerage to my credit in the event of my death

| | | | |
|---|--|--------------|--|
| Name of Nominee | | | |
| Date of Birth | | Relationship | |
| Where Nominee is Minor (Please provide Name of Guardian) | | | |
| Address of Nominee / Guardian | | | |
| | | | |
| | | | |
| | | | |
| CITY /DIST | | PINCODE | |
| Specimen Signature of Guardian (where Nominee is Minor) | | | |

I am aware that the empanelment is not transferable, and the nominee will not acquire the agency of Taurus AMC by virtue of this NOMINATION. If Taurus AMC were to incur, suffer any losses or any claim, demand, liabilities, proceedings or actions are filed or made or initiated against Taurus AMC in respect of or in connection with this Nomination, Taurus AMC will be entitled to be Indemnified absolutely for any losses, expenses, costs and charges that Taurus AMC may suffer or incur absolutely from my estate.

Yours truly,

Signature:_____

Name :_____

ARN :_____

Terms and Conditions

1. The information and statements made in here above shall be the basis on which Taurus AMC will rely upon and appoint as its MF Distributor.
2. The Distributor shall carry out such directions and instructions as may from time to time be issued by Taurus AMC or by a person duly authorized by Taurus AMC in this regard.
3. The Distributor shall not make any statement or act in a manner which shall create any expectation from the Fund which is not stated in the Offer Document.
4. The Distributor shall at all times conduct himself/herself with propriety and decorum in a manner which is not prejudicial to the interest of Taurus AMC and if Taurus AMC has reasons to believe with non-compliance of the code of conduct, the contract will be terminated.
5. The Distributor is not authorized to receive cash from any investor for investing on behalf of investor in the schemes of Fund. All such investments must be accompanied with cheque / draft and other documents that are communicated from time-to-time and must be lodged at the Official Point of Acceptance of Fund. The Distributor is not authorized to issue any receipt on behalf of the Fund.
6. The Distributor is entitled to receive commission on amounts mobilized by him/her/them and is payable subject to Taxes and levies.

DECLARATIONS

1. The Distributor declares and covenants with Taurus AMC to defend, indemnify and hold Taurus AMC and its affiliates, promoters, successors in interest and permitted assigns harmless from and against all claims, damages or assertions of liability of any kind of nature resulting from:
 - a. Any breach of terms, covenants and conditions or other provisions thereof or any offer Document (s) or any actions or omissions there under
 - b. Any failure to comply with all applicable legislations, statutes, ordinance, regulations, administrative rulings or requirements of law
 - c. The misfeasance, malfeasance or fraudulent acts and by its representatives and
 - d. Any and all actions, suits, proceedings, assessments, settlement, arbitrations judgments, cost and expenses, including attorneys' fees, resulting from any matters set forth herein above.
2. The Distributor confirms that he has passed the test conducted by AMFI and holds a certificate that is in force. He/she/They shall ensure to keep its validity throughout its entirety as long as they are empaneled with Taurus AMC.
3. The Distributor, who is a Non-Individual, will ensure that they employ AMFI certified personnel for distribution of Mutual Fund schemes as per Guidelines.
4. The Distributor warrants that he will canvass business for the Fund in accordance with AMFI guidelines and norms for intermediaries (AGNI) and any rules and regulations that may be framed or amended by AMFI from time to time.
5. The Distributor confirms having read the code of conduct for Mutual Fund Intermediaries prescribed by AMFI and undertakes to follow the code of conduct strictly.
6. The Distributor confirms clarity with respect to Brokerage rates provided to him/her/them and its applicability, mode of computation and periodicity of release.
7. The Distributor undertakes not to rebate commission to investor/s who are sourced by him/her/them.
8. The Distributor agrees that in the event of not providing NOMINATION by him/her, Taurus AMC has the right to disburse the unclaimed amount after obtaining necessary documents and verification on best effort.

9. The Distributor agrees that he/she/they is/are aware of a declaration as prescribed by AMFI from time-to-time and non-compliance and non-submission of such declaration will attract suspension of Brokerage and no separate intimation is required from Taurus AMC to him/her/them for compliance of the same.

Date:

Place:

Signature

| |
|--|
| |
|--|

CHECK LIST of DOCUMENTS

| Sr. | Name of Document | Submitted ✓ / X | Office Use ✓ / X |
|-----|---|--------------------|---------------------|
| | <u>For all Distributors</u> | | |
| 1 | ARN Card | | |
| 2 | ARN Certificate | | |
| 3 | PAN Card | | |
| 4 | Specimen copy of Cheque leaf | | |
| 5 | Form of Nomination | | |
| | | | |
| | <u>For Non-individual distributors</u> | | |
| 1 | Partnership Firm – Partnership Deed - Firms' Resolution - Authorized Signatory List | | |
| 2 | Private / Public Ltd – Memorandum / Articles of Assn - Board Resolution - Authorized Signatory List | | |
| 3 | Certificate of Incorporation | | |
| 4 | Letter of Confirmation that all its employees are Certified by AMFI | | |

TAURUS AMC office remarks

| | |
|------------------------|----------------------|
| Recommendations | |
| Location Name: | Relationship Manager |
| Region: | Branch Manager |
| | National Sales Head |

| | |
|--------------------------------|--------------------------|
| Process Recommendations | Registrar Remarks |
| | Master Created on |
| | Other Remarks if any |